

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION TRANSMITTAL UNDER 37 C.F.R. §1.53(B)

03942 U.S. PTO
10/642898
08/18/03

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Attorney Docket No.: 29757/P-800

Sir:

Enclosed herewith for filing under 37 C.F.R. §1.53(b) is the nonprovisional patent application of:

Inventors: Binh T. Nguyen and Craig A. Paulsen

Title: TOURNAMENT GAMING METHOD AND SYSTEM

1. **Type of Application**

☒ This is an application for a:

- ☒ utility patent
☐ design patent

CERTIFICATION UNDER 37 C.F.R. §1.10

I hereby certify that this Patent Application Transmittal and the documents referred to as enclosed herewith are being deposited with the United States Postal Service on **August 18, 2003**, in an envelope addressed to the Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 utilizing the "Express Mail Post Office to Addressee" service of the United States Postal Service under Mailing Label No. EV 323774850US.


Richard Zimmerman

2. Application Papers Enclosed

- 1 Title page
71 Pages of specification (excluding claims, abstract, drawings & sequence listing)
16 Pages of claims
1 Abstract page
35 Sheets of drawings (Figs. 1 to 33E)
☒ Formal
☐ Informal

3. Declaration or Oath

- ☒ Enclosed
☒ Executed by inventors
☐ Executed by legal representative of inventor(s) (37 C.F.R. §1.42 or §1.43)
☐ Not enclosed

4. Incorporation By Reference

If the present application is a continuation, continuation-in-part or divisional application as indicated in Paragraph 1 above, the prior application identified in Paragraph 1 above is hereby incorporated by reference in its entirety in the present application.

5. Priority Under 35 U.S.C. §119

The present application claims priority under 35 U.S.C. §119 from each application listed below, and a certified copy of each application: ☐ is enclosed, ☐ will be filed later, ☐ was filed in a prior application from which the present application claims priority under 35 U.S.C. §120.

COUNTRY	APPLICATION NO.	FILED

6. **Additional Papers Enclosed**

- ☐ Computer-readable copy of sequence listing containing nucleotide and/or amino acid sequence
- ☐ Declaration of biological deposit
- ☐ Microfiche computer program
- ☐ Request not to publish and certification under 35 U.S.C. §122(b)(2)(B)(i)
- ☐ Information disclosure statement
- ☐ Assignment
- ☒ Return receipt postcard
- ☒ Other: Application Data Sheet

7. **Small Entity Status**

- ☐ Small entity status is claimed.

8. **Fee Calculation**

A. ☒ **Utility Application**

CLAIMS AS FILED - INCLUDING PRELIMINARY AMENDMENT (IF ANY)						
			SMALL ENTITY		OTHER THAN SMALL ENTITY	
	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$375		\$750
TOTAL	51 -20	= 31	x 9 =		x 18 =	\$558
INDEP.	7 - 3	= 4	x 42 =		x 84 =	\$336
First Presentation of Multiple Dependent Claim			+ 140 =		+ 280 =	
Filing Fee:					OR	\$1,644

B. ☐ **Design Application Filing Fee** \$ _____

C. ☐ **Other Fees**
Assignment recordation fee \$ _____
Other: _____ \$ _____

Total Fees: \$1,644.00

9. Method of Payment of Fees

- ☒ Enclosed is a check in the amount of: \$ 1,644.00
- ☐ Charge Deposit Account No. 13-2855 in the amount of: \$ _____
A copy of this transmittal is enclosed.

10. Deposit Account and Refund Authorization

The Commissioner is hereby authorized to charge any deficiency in the amount enclosed or any additional fees which may be required during the pendency of this application under 37 C.F.R. §1.16 or 37 C.F.R. §1.17 to Deposit Account No. 13-2855. A copy of this transmittal is enclosed.

Please refund any overpayment to Marshall, Gerstein & Borun at the address below.

11. Correspondence Address

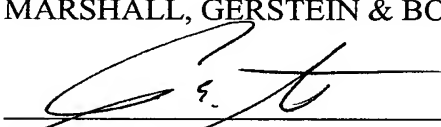
Customer No.: 04743

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN

Date: August 18, 2003

By:



Gregory E. Stanton
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6300 Sears Tower
233 South Wacker Drive
Chicago, Illinois 60606-6357
(312) 474-6300

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003, Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 1,644.00

Complete if Known

Application Number	Not Yet Assigned
Filing Date	Herewith
First Named Inventor	Binh T. Nguyen
Examiner Name	Not Yet Assigned
Art Unit	N/A
Attorney Docket No.	29757/P-800

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account

Deposit Account Number 13-2855

Deposit Account Name MARSHALL, GERSTEIN & BORUN LLP

The Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	750.00
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					750.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
51	-20** = 31	18.00	558.00
Independent Claims	7	-3** = 4	84.00
Multiple Dependent			

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 894.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0.00

SUBMITTED BY

Name (Print/Type) Gregory E. Stanton

Registration No. (Attorney/Agent) 45,127

Complete (if applicable)

Telephone (312) 474-6300

Signature

Date

August 18, 2003

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV 323774850US, in an envelope addressed to: MS Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: August 18, 2003

Signature: Richard Zimmermann (Richard Zimmermann)